

Creating a Finding

You can create a finding for an entity, a failed control or any control that does not achieve the desired compliance. Findings for a failed control can be created on the **Control Results** tab of **Assessment Details** page, whereas findings for an entity can be created on the **Findings** tab of **Assessment Details** page or on the **Home > Findings** page. At a minimum, findings allow you to perform the risk assessment when created. However, it is the responsibility of the program owner to provide a way for the workflow stage stakeholders to respond to a finding using multiple response mechanisms. For information about how to configure a response mechanism to a finding, see [Setting Control Response Options](#).

Findings are associated with specific assessments, but the wizard allows you to either select an existing assessment or create a new one. You can also create a new entity to associate with the new finding. In this case, you must also create an assessment.

To create a finding:

1. Go to the **Home > Findings** page and click **New**. The **New Finding** wizard appears, and displays the **General** wizard page.

The screenshot shows a 'New Finding' wizard window with a blue header and a red close button. The wizard has four steps: 1. General (active), 2. Risk Assessment, 3. Entity, and 4. Assessment. Below the steps is a yellow instruction box: 'A finding associates a risk assessment with an entity and an assessment. Enter a title and description for the new finding and choose an owner and team for access control.' The form fields include: 'Title*' (required), 'Description', 'Owner' section with 'Individual*' (required) set to 'Alastair Dallas' and a '+' button, and 'Team' (required). At the bottom are 'Cancel', '< Back', and 'Next >' buttons.

2. In the **General** wizard page. Enter **Title**, and **Description**, and select **Owner** and **Team**. Click **Next** to continue.
3. In the **Risk Assessment** wizard page, assess the risk of this Finding by choosing a likelihood and an impact. Select **Impact**, **Likelihood**, **residual Impact**, and **residual Likelihood** values. Click **Next** to continue.
4. In the **Entity** wizard page, select an existing entity, or create a new entity, which will be the subject of this finding. Click **Next** to continue.
5. In the **Assessment** wizard page Select an existing assessment, or create a new assessment to associate with the new finding. To create a new assessment, select an existing program.

6. Click **Finish** to save the new finding, or click **Cancel** to quit without saving. Note that creating a new finding can take some time.