

Create a Finding

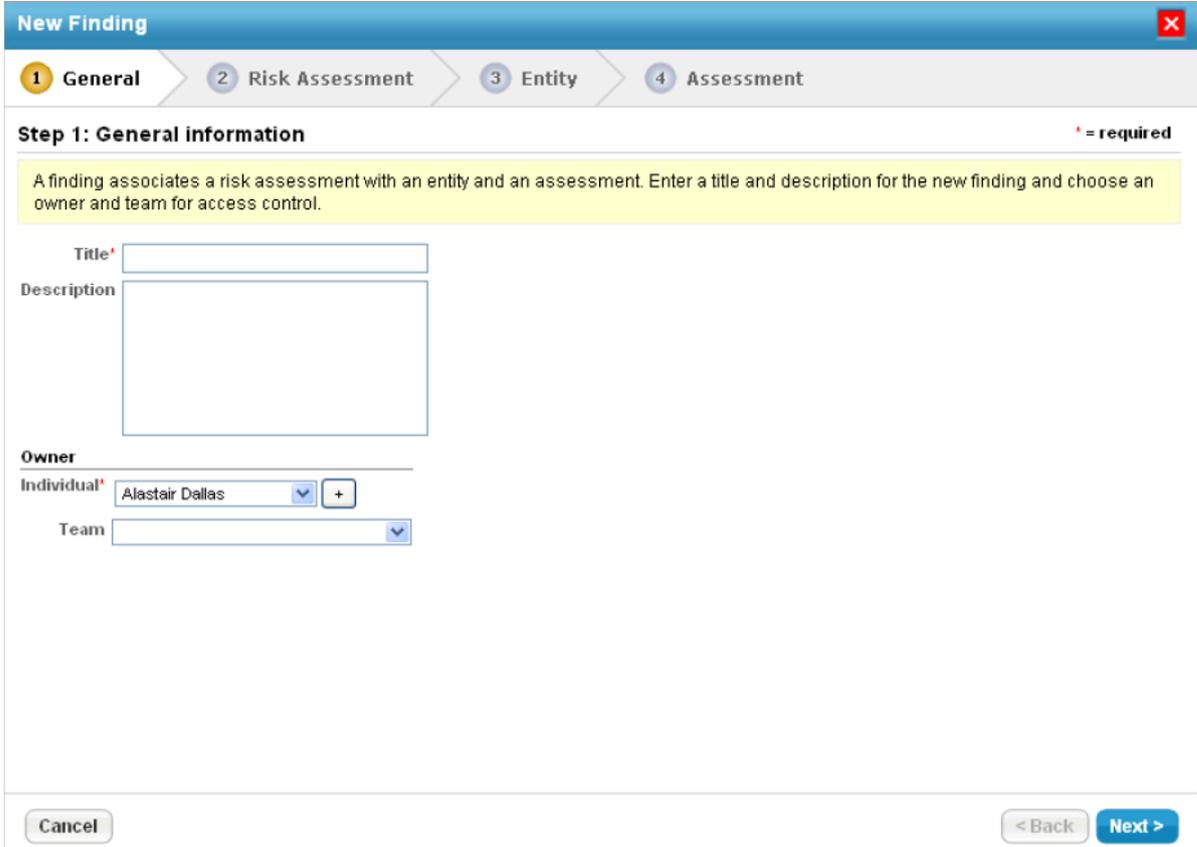
You can create a finding for an entity, a failed control, or any control that does not meet the desired compliance. Findings for a failed control can be created on the **Assessment Details** page > **Control Results** tab. Findings for an entity can be created on the **Assessment Details** page > **Findings** tab, or on **Home** > **Findings** page.

Findings allow you to perform a risk assessment when created. However, it's the responsibility of the program owner to determine how workflow stage stakeholders will respond to a finding. For information about how to configure a response mechanism to a finding, see [Set Control Response Options](#) .

You can associate a finding with an existing assessment, or create a new one. You can also create a new entity to be associated with the new finding. In this case, you must also create an assessment.

To create a finding:

1. Go to **Home** > **Findings**, then click **New**.



The screenshot shows the 'New Finding' wizard interface. At the top, there's a blue header with the title 'New Finding' and a close button (red X). Below the header is a progress bar with four steps: 1. General (active), 2. Risk Assessment, 3. Entity, and 4. Assessment. The main content area is titled 'Step 1: General information' with a note '* = required'. A yellow instruction box states: 'A finding associates a risk assessment with an entity and an assessment. Enter a title and description for the new finding and choose an owner and team for access control.' The form includes: a 'Title*' text input field; a 'Description' text area; an 'Owner' section with an 'Individual*' dropdown menu (currently showing 'Alastair Dallas') and a '+' button; and a 'Team' dropdown menu. At the bottom, there are 'Cancel', '< Back', and 'Next >' buttons.

The General page in the New Finding wizard.

2. Enter a Title and Description.
3. Click the **Individual** dropdown and select an appropriate owner. Click the **Team** dropdown to select a team.
4. Click **Next**.
- 5.

New Finding ✕

Title*

Description

Owner

Individual* +

Team

What's the Impact?

Impact*

What's the Likelihood?

Likelihood*

What's the residual Impact?

What's the residual Likelihood?

Click **+** to open **Select a User** dialog. The **Select a User** dialog allows you to search a user with search criteria: **User Role** and **User Name**.

6. In the **New Finding** dialog, enter Title, Description, and select Owner, Team, Impact, and Likelihood. Optionally, select residual impact and residual likelihood.
7. Click OK. The finding is created and appears on the **Findings** tab of the **Assessment Details** page.